#### **REMARKS**

Claims 2, 5 through 15, 17 through 21 and 23 through 32 are pending in this application. Claims 2, 17, 23, 27 and 30 are the independent claims. The Examiner rejected claims 2 through 9, 12 through 15, 17 through 21 and 23 through 30 under 35 U.S.C. § 102(b) and rejected claims 10, 11 and 29 under 35 U.S.C. § 103 in the January 24, 2002 Final Office Action.

Applicant would like to thank the Examiner and the Examiner's Supervisory Patent Examiner for their time in conducting the personal interview with Applicant's representative on March 27, 2002.

Pursuant to the agreement reached during the March 27, 2002, personal interview, Applicant has withdrawn claims 3 and 4; amended claims 2, 5, 6, 7, 17, 23, 27 and 30; and added claims 31 and 32 to overcome the Section 102 and Section 103 rejections. While the amendments and new claims may raise new issues for search and examination, no new matter has been added by these amendments.

#### **REJECTIONS UNDER 35 U.S.C. § 102**

Claims 2 through 9, 12 through 15, 17 through 21 and 23 through 30 were rejected under 35 U.S.C. § 102(b), as being anticipated by U.S. Patent No. 5,526,520 to Krause in the Final Office Action. As agreed to in the March 27, 2002, personal interview between Applicant's representative, the Examiner and the Examiner's Supervisory Patent Examiner, claims 2, 5, 6, 7, 17, 23, 27 and 30 have been amended to overcome the Section 102 rejection. Specifically, claim 2 has been amended to recite:

" A method for identifying a secondary document <u>having an un-specified</u> <u>location</u> relative to a primary document <u>in a document preparation environment</u> comprising:

determining if said primary document includes an indicator;

identifying said secondary document <u>by determining at least one of a filename and a location of said secondary document using at least one of a user input, a user selection and syntactic processing of said primary document, if said primary document includes said indicator; and</u>

attaching said secondary document to said primary document <u>to encapsulate</u> said primary and secondary documents together, if said primary document includes said indicator."

There is nothing in the Krause patent that discloses or suggests identifying the secondary document in a document preparation environment using heuristic processing techniques, such as, "using at least one of a user input, a user selection and syntactic processing of said primary document," and "attaching said secondary document to said primary document to encapsulate said primary and secondary documents together," as recited in claim 2. Therefore, the Section 102 rejection of claim 2, as well as those claims depending therefrom, is believed moot and it is respectfully requested that the rejection of claim 2, and the claims that depend therefrom, be withdrawn.

Regarding independent claims 17, 23, 27 and 30, similar amendments to those made and discussed above in relation to claim 2, have been made to claims 17, 23, 27 and 30 to overcome the Section 102 rejection of these claims. Accordingly, for at least those reasons given above for claim 2, the Section 102 rejection of claims 17, 23, 27 and 30, as well as those claims depending, respectively, therefrom, is believed moot and it is respectfully requested that the rejection of claims 17, 23, 27 and 30, and the claims depending, respectively, therefrom, be withdrawn and a notice of allowance to that effect be issued.

# REJECTIONS UNDER 35 U.S.C. § 103

Claims 10, 11 and 29 were rejected under 35 U.S.C. § 103(a), as being unpatentable over the Krause patent in view of U.S. Patent No. 6,073,165 to Narasimhan et al. in the Final Office Action.

For at least the same reasons stated above for claims 2, 17, 23, 27 and 30, the rejection of claims 10, 11 and 29 under 35 U.S.C. §103 is believed to be overcome, and it is respectfully requested that this Section 103 rejection be withdrawn and a notice of allowance to that effect be issued.

### CONCLUSION

In view of the amendments and remarks submitted above, the Applicant respectfully submits that the present case is not only ready for examination, but also in condition for allowance, and a notice to that effect is respectfully requested.

Applicant does not believe a fee is due in conjunction with this response, however, the Commissioner is authorized to charge any fees determined to be due under 37 C.F.R. § 1.16 or § 1.17 or credit any overpayment to Kenyon & Kenyon **Deposit Account No.** 11-0600.

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The Examiner is invited to contact the undersigned at (202) 220-4263 to discuss any matter concerning this application.

Respectfully submitted,

**KENYON & KENYON** 

Dated: April 22, 2002

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**SERIAL NO.:** 

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## MARKED-UP VERSION OF AMENDMENTS

## IN THE CLAIMS:

Please amend claims 2, 5, 6, 7, 17, 23, 27 and 30 as follows:

2. (Twice Amended) A method for identifying a secondary document <a href="having an un-specified location">having an un-specified location</a> relative to a primary document <a href="in-a-document">in a document</a> <a href="preparation environment">preparation environment</a> comprising:

determining if said primary document includes an indicator;

identifying said secondary document <u>by determining at least one of a filename</u> and a location of said secondary document using at least one of a user input, a user <u>selection and syntactic processing of said primary document</u>, if said primary document includes said indicator; and

attaching said secondary document to said primary document to encapsulate said primary and secondary documents together, if said primary document includes said indicator.

- 5. (Amended) The method according to claim [3] 2, wherein said primary document includes at least one word, and wherein determining at least one of said filename and said location of said secondary document is performed as a function of said at least one word.
- 6. (Amended) The method according to claim [3] 2, wherein said primary document includes a plurality of words, and wherein determining at least one of said filename and said location of said secondary document is performed as a function of said plurality of words within a predetermined proximity of said indicator included in said plurality of words.

7. (Amended) The method according to claim [3] 2, further comprising: associating a predetermined word with at least one of said filename and said location of said secondary document,

wherein said primary document includes said predetermined word, and wherein identifying at least one of said filename and said location of said secondary document is performed as a function of said predetermined word.

- 17. (Twice Amended) A <u>document preparation</u> system for identifying a secondary document <u>having an un-specified location</u> [related to] <u>from</u> a primary document comprising:
  - a first storage device storing said primary document;
  - a second storage device storing said secondary document;
  - a third storage device storing an indicator; and
- a processor coupled to said first storage device, said second storage device and said third storage device, said processor determining if said primary document includes said indicator, identifying said secondary document by determining at least one of a filename and a location of said secondary document using at least one of a user input, a user selection and syntactic processing if said primary document includes said indicator, attaching said secondary document to said primary document to encapsulate said primary and secondary documents together, if said primary document includes said indicator.
  - 23. (Amended) A document preparation system comprising:
  - a storage device;
  - a set of instructions stored on the storage device; and
- a processor executing said set of instructions to identify a secondary document having an un-specified location relative to a primary document by:

determining if said primary document includes an indicator,

identifying said secondary document <u>by determining at least one of a</u>

<u>filename and a location of said secondary document using at least one of a user input,</u>

<u>a user selection and syntactic processing</u> if said primary document includes said indicator, and

attaching said secondary document to said primary document <u>to</u>

<u>encapsulate said primary and secondary documents together</u>, if said primary document includes said indicator.

27. (Amended) A method for identifying an <u>unspecified</u> address of a recipient of a primary document <u>in a document preparation environment</u> comprising: determining if said primary document includes an indicator;

identifying [said] <u>an</u> address of said recipient <u>by determining said address of said</u> recipient using at least one of a user input, a user selection and syntactic processing if said primary document includes said indicator; and

attaching said address of said recipient to said list of said at least one address to encapsulate said address of said recipient with said primary document, if said primary document includes said indicator.

30. (Amended) A <u>document preparation</u> system comprising:
 a storage device storing a set of instructions;
 a processor executing said set of instructions to identify an uniform processor executing said set of instructions.

a processor executing said set of instructions to identify an <u>unspecified</u> address of a recipient of a primary document, said primary document including a list of at least one address corresponding to at least one recipient to receive said primary document, by:

determining if said primary document includes an indicator,
identifying [said] an address of said recipient by determining said
address of said recipient using at least one of a user input, a user selection and
syntactic processing, if said primary document includes said indicator, and
attaching said address of said recipient to said list of said at least
one address to encapsulate said address of said recipient with said primary document,
if said primary document includes said indicator.

Please add the following new claims:

- 31. (New) The method of claim 2, wherein said using syntactic processing of said primary document comprises using natural language processing techniques.
- 32. (New) The method of claim 31, wherein said using natural language processing techniques comprises at least one of:

using word association processing; and using probability matching.